

12-18-01
IN THE UNITED STATES PATENT AND TRADEMARK OFFICEEL 722881751US
N. S. S. S. S.

FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)
For Design, Provisional, or Utility ApplicationsPATENT
APPLICATIONCOMPLETION Under
Rule 53(f)In re PATENT APPLICATION of
Inventor(s): Bulent M. Basol, et al.

Attn: Application Division

Appln. No.: 09 960,236
Series Code ↑ Serial No. ↑Atty.Dkt. 042496 0269299 NT209(U)
M# Client RefFiled: September 20, 2001
Title: MASK PLATE DESIGNHon. Commissioner of Patents
Washington, DC 20231

Date: December 14, 2001

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** ☒ copy attached ☐ not yet received
2. ☒ Signed Declaration attached. ☒ Original ☐ Facsimile/Copy

(Always "X" box 2 if filling signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or

"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- 2B. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
3. ☐ Specification originally filed in non-English language; hence verified translation attached of:
- a. ☐ Abstract
- b. # pages of Specification (only spec. & claims)
- c. ☐ Drawing(s) No of Sheets
- ☐ Fig(s).
4. ☐ Letter filing formal drawing attached.
5. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

| Application No. | Filing Date | Application No. | Filing Date |
|-----------------|---------------|-----------------|-------------|
| (1) 60/272,791 | March 1, 2001 | (2) | |
| (3) | | (4) | |
| (5) | | (6) | |

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

8.

| Application No. | Filing Date | Application No. | Filing Date |
|-----------------|-------------|-----------------|-------------|
| (1) | | (2) | |
| (3) | | (4) | |
| (5) | | (6) | |

Completion Under Rule 53(f)

9. _____ (No.) Certified copy (copies): _____ ☐ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ / _____ filed on _____
10. Small Entity Status ☐ ☒ is Not claimed ☐ is claimed (file PAT-256 if this is the first claim of Small Entity Status)
11. ☐ Attached:
12. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

| | | | | Large/Small Entity | | Fee Code |
|--|----|------------|----|-----------------------|-----------------|-------------|
| 13. Basic Filing Fee Design Application | | | | \$330/\$165 | | 106/26 |
| Not Design Application | | | | \$740/\$370 | +740 | 101/201 |
| 14. Total Effective Claims | 50 | minus 20 = | 30 | x \$18/\$9 | +540 | 103/203 |
| 15. Independent Claims | 4 | minus 3 = | 1 | x \$84/\$42 | +84 | 102/202 |
| 16. If any proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application) | | | | \$280/\$140 | +0 | 104/204 |
| 17. Surcharge for filing Declaration/filing fee late | | | | \$130/\$65 | +130 | 105/205 |
| 18. FILING FEE ENCLOSED = | | | | \$1494 | | |
| 19. Original due date: | | | | | | |
| 20. Petition is hereby made to extend the original due date to | | | | (1 mo) | \$110/\$55 = | +0 |
| cover the date this response is filed for which the requisite fee | | | | (2mos) | \$400/\$200 = | 116/216 |
| is attached | | | | (3mos) | \$920/\$460 = | 117/217 |
| | | | | (4mos) | \$1,440/\$720 = | 118/218 |
| 21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee | | | | \$130 | +0 | 139 |
| 22. If "assignment" box 5 is X'd, add recording fee. | | | | \$40 | +40 | 581 |
| 23. Petition Fee for | | | | \$130 | +0 | |
| 24. TOTAL FEE ENCLOSED = | | | | \$1534 | | |

Our Deposit Account No. 03-3975

Our Order No. 042496

C#

0269299

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP
Intellectual Property Group

1600 Tysons Boulevard
 McLean, VA 22102
 Tel: (703) 905-2000

By Atty: David A. Jakopin

Reg. No. 32995

Sig:

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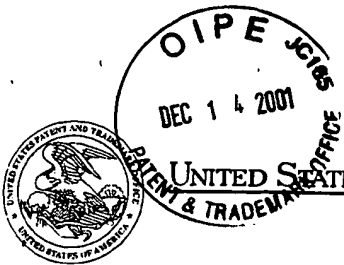
Atty/Sec: DAJ/dld

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments

CERTIFICATE OF EXPRESS MAILING

I, Diana Dearing, hereby certify that this correspondence (and attachments) is being deposited with the United States Postal Service, Express Mail #EL722881751US, postage prepaid, in an envelope addressed to BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231-0001, on December 14, 2001.

Diana Dearing



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|--------------------------|
| 09/960,236 | 09/20/2001 | Bulent M. Basol | 042496/0269299 NT-209(U) |

CONFIRMATION NO. 5866

FORMALITIES LETTER



OC000000006956085

PILLSBURY WINTHROP LLP
1600 Tysons Boulevard
McLean, VA 22102

Date Mailed: 10/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/23/2001 5866 00000105 09260236

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

| | | |
|------------|--------|----|
| 10/23/2001 | 740.00 | DP |
| 10/23/2001 | 130.00 | DP |
| 10/23/2001 | 540.00 | DP |
| 10/23/2001 | 84.00 | DP |

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

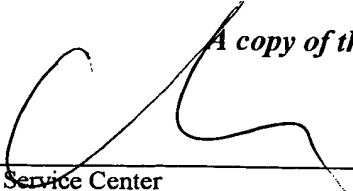
- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$620.
 - \$540 for 30 total claims over 20.
 - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1460.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE